



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/665,588      | 09/19/2000  | Tsuyoshi Yamashita   | 197264US2           | 5792             |

22850 7590 09/17/2003

OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.  
1940 DUKE STREET  
ALEXANDRIA, VA 22314

EXAMINER

MASKULINSKI, MICHAEL C

| ART UNIT | PAPER NUMBER |
|----------|--------------|
|----------|--------------|

2184

DATE MAILED: 09/17/2003

*[Handwritten signature]*

Please find below and/or attached an Office communication concerning this application or proceeding.

*24*

**Office Action Summary**

Application No.

09/665,588

Applicant(s)

YAMASHITA ET AL.

Examiner

Michael C Maskulinski

Art Unit

2184

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 25 July 2003.
- 2a) ☒ This action is FINAL. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-4, 7 and 8 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4, 7 and 8 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                   | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____. |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)          | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____. | 6) <input type="checkbox"/> Other: _____.                                   |

**Final Office Action**

***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-4, 7, and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bauer et al., U.S. Patent 4,535,456, and further in view of Sadre et al., U.S. Patent 5,485,620.

Referring to claim 1:

a. In the Abstract, Bauer et al. disclose a programmable controller, which controls, for example, operation of a machine tool, or other device in which sequential events occur. However, Bauer et al. don't explicitly disclose a sequential-function-chart-type programmable controller. In column 13, lines 36-65, Sadre et al. disclose a sequential-function-chart-type programmable controller. It would have been obvious to one of ordinary skill at the time of the invention to include the sequential-function-chart-type programmable controller of Sadre et al. into the system of Bauer et al. A person of ordinary skill in the art would have been motivated to make the modification because the standard Sequential Function Chart (SFC) is intended to provide a graphical means for presentation of an application program for controlling both sequential and continuous functions (see Sadre et al.: column 4, lines 25-28). Further, in

column 3, lines 46-51, Sadre et al. disclose that an example of a sequential function is machine operation or sequencing. As stated earlier the programmable controller of Bauer et al. controls operation of a machine tool. Therefore the SFC of Sadre et al. would be used to control the machine tool of Bauer et al.

b. In column 2, lines 15-23, Bauer et al. disclose a predetermined execution time of a step (standard value of an active time of an arbitrary step in a sequential-function-chart program). Having a reference-active-time memory unit for storing the predetermined execution time is inherent to the system of Bauer et al.

c. In column 8, lines 23-36, Bauer et al. teach a timing means for timing the run-through of a program run, or cycle (a timer for measuring the active time of the arbitrary step).

d. In column 2, lines 46-52, Bauer et al. disclose that in accordance with a preferred feature of the invention, error checking is carried out only if the time for the entire sequencing of control steps of the controlled machine or engine or similar device exceeds a certain value (an anomalous-state monitoring unit which detects an anomalous state of the arbitrary step through comparison between the active time measured by the timer and the standard value stored in the reference-active-time memory unit).

Referring to claim 2, in column 26, lines 7-20, Sadre et al. disclose that each button of the manual application sequencer display is displayed with an inactive color

Art Unit: 2184

(e.g., gray) to indicate that the associated step is not active. When an application program step is ready to be activated, the associated button is displayed with a ready color (e.g., yellow). When the operator pushes the ready button, the associated step is executed, and the button is displayed with an active color (e.g., green). The button is displayed with the active color until the associated application program step is completed. When the application program step is completed, the button is displayed with an inactive color, and the next button in sequence is displayed with a ready color. If an error occurs, the active button is displayed with an error color (e.g., red) (a display unit for displaying the program in such a manner that a step which has been detected by the anomalous-state monitoring unit to be in an anomalous state is distinguished from other steps).

Referring to claim 3, in column 10, lines 30-53, Bauer et al. disclose that if the runs of program use sequential steps, which are sequentially programmed, then the outputs or results will be SET. Since only one output at a time may be SET, and this output is cancelled only when the continued sequencing conditions for the next output have been fulfilled, the test program must only determine in which address stored in the RAM the status ONE is entered (an execution monitor unit for storing data indicating whether each step in the sequential-function-chart program has been executed).

Further, in column 26, lines 7-20, Sadre et al. disclose that each button of the manual application sequencer display is displayed with an inactive color (e.g., gray) to indicate that the associated step is not active. When an application program step is ready to be activated, the associated button is displayed with a ready color (e.g., yellow). When the

Art Unit: 2184

operator pushes the ready button, the associated step is executed, and the button is displayed with an active color (e.g., green). The button is displayed with the active color until the associated application program step is completed. When the application program step is completed, the button is displayed with an inactive color, and the next button in sequence is displayed with a ready color. If an error occurs, the active button is displayed with an error color (e.g., red) (the display unit displays the program in such a manner that a step or steps which have been executed are distinguished from a step or steps which have not yet been executed, on the basis of the data stored in the execution monitor unit).

Referring to claim 4, in column 10, lines 30-53, Bauer et al. disclose that if the runs of program use sequential steps, which are sequentially programmed, then the outputs or results will be SET. Since only one output at a time may be SET, and this output is cancelled only when the continued sequencing conditions for the next output have been fulfilled, the test program must only determine in which address stored in the RAM the status ONE is entered (when conditions for transition from a certain step to the next step are satisfied, the execution monitor unit brings a corresponding execution-completion flag into a predetermined state to thereby memorize whether the step has been executed).

Referring to claim 7:

a. In the Abstract, Bauer et al. disclose a programmable controller, which controls, for example, operation of a machine tool, or other device in which sequential events occur. However, Bauer et al. don't explicitly disclose a

sequential-function-chart-type programmable controller. In column 13, lines 36-65, Sadre et al. disclose a sequential-function-chart-type programmable controller. It would have been obvious to one of ordinary skill at the time of the invention to include the sequential-function-chart-type programmable controller of Sadre et al. into the system of Bauer et al. A person of ordinary skill in the art would have been motivated to make the modification because the standard Sequential Function Chart (SFC) is intended to provide a graphical means for presentation of an application program for controlling both sequential and continuous functions (see Sadre et al.: column 4, lines 25-28). Further, in column 3, lines 46-51, Sadre et al. disclose that an example of a sequential function is machine operation or sequencing. As stated earlier the programmable controller of Bauer et al. controls operation of a machine tool. Therefore the SFC of Sadre et al. would be used to control the machine tool of Bauer et al.

b. In column 2, lines 15-23, Bauer et al. disclose a predetermined execution time of a step (standard value of an active time of an arbitrary step in a sequential-function-chart program). Having a reference-active-time memory unit for storing the predetermined execution time is inherent to the system of Bauer et al.

c. In column 8, lines 23-36, Bauer et al. teach a timing means for timing the run-through of a program run, or cycle (a timer for measuring the active time of the arbitrary step).

d. In column 2, lines 46-52, Bauer et al. disclose that in accordance with a preferred feature of the invention, error checking is carried out only if the time for the entire sequencing of control steps of the controlled machine or engine or similar device exceeds a certain value (an anomalous-state monitoring unit which detects an anomalous state of the arbitrary step through comparison between the active time measured by the timer and the standard value stored in the reference-active-time memory unit).

e. In column 10, lines 30-53, Bauer et al. disclose that if the runs of program use sequential steps, which are sequentially programmed, then the outputs or results will be SET. Since only one output at a time may be SET, and this output is cancelled only when the continued sequencing conditions for the next output have been fulfilled, the test program must only determine in which address stored in the RAM the status ONE is entered (an execution monitor unit for storing data indicating whether each step in the sequential-function-chart program has been executed).

f. In column 26, lines 7-20, Sadre et al. disclose that each button of the manual application sequencer display is displayed with an inactive color (e.g., gray) to indicate that the associated step is not active. When an application program step is ready to be activated, the associated button is displayed with a ready color (e.g., yellow). When the operator pushes the ready button, the associated step is executed, and the button is displayed with an active color (e.g., green). The button is displayed with the active color until the associated



application program step is completed. When the application program step is completed, the button is displayed with an inactive color, and the next button in sequence is displayed with a ready color. If an error occurs, the active button is displayed with an error color (e.g., red) (a display unit for displaying the program in such a manner that a step detected by the anomalous-state monitoring unit to be in an anomalous state, a step or steps which have been executed, and a step or steps which have not yet been executed are distinguished from one another).

Referring to claim 8, in column 10, lines 30-53, Bauer et al. disclose that if the runs of program use sequential steps, which are sequentially programmed, then the outputs or results will be SET. Since only one output at a time may be SET, and this output is cancelled only when the continued sequencing conditions for the next output have been fulfilled, the test program must only determine in which address stored in the RAM the status ONE is entered (when conditions for transition from a certain step to the next step are satisfied, the execution monitor unit brings a corresponding execution-completion flag into a predetermined state to thereby memorize whether the step has been executed).

### ***Response to Arguments***

3. Applicant's arguments with respect to claims 1-4, 7, and 8 have been considered but are moot in view of the new ground(s) of rejection.

***Conclusion***

4. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael C Maskulinski whose telephone number is (703) 308-6674. The examiner can normally be reached on Mon-Thu 7:30-5 and Fri. 7:30-4 (second Fri.).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert W Beausoliel can be reached on (703) 305-9713. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Art Unit: 2184

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

MM

  
ROBERT BEAUSOLIEL  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100